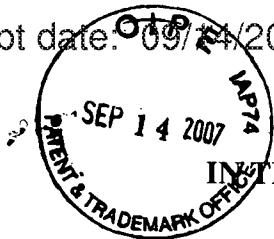


Receipt date: 09/24/2007

10573942 - GAU 1795



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Akinobu Sato, et al.

Serial No.: 10/573,942

Filing Date: March 29, 2006

Title: Solid surface smoothing
method and apparatus therefor

Conf. No. 5411

Examiner: ~~Rodney Glenn McDonald~~
Michael Band

Art Unit: ~~1753~~
1795

September 11, 2007
San Francisco, California

Mail Stop Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants respectfully submit the following information disclosure statement.

The undersigned certifies that each of the following references was contained in a communication from the EPO in a counterpart foreign application not more than three months prior to the filing of this statement.

The disclosed references are:

PCT Application Publication No. WO 03/048407, Epion Corp., dated 6-12-2003.

PCT Application Publication No. WO 01/41181, Epion Corp., dated 6-7-2001.

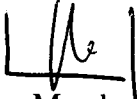
Yamada, I., et al.; "Materials processing by gas cluster ion beams," Materials Science & Engineering Reports, Elsevier Sequoia, S.A., Lausanne, CH, Vol. 34, no. 6, Oct. 30-01, pp. 231-295.

Docket: N/A 237

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.B./

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed form PTO-1449 and that the references be made of record in the present application.

Respectfully submitted,

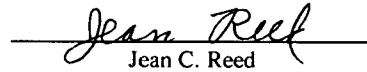


Larry Menderhall
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Telephone: (415) 989-8080
Facsimile: (415) 989-0910

Enc. PTO Form 1449
Cited references (3)
Return receipt postcard

Certificate of Mailing Under 37 CFR 1.8

I certify that this Information Disclosure Statement and all enclosed materials are being deposited with the United States Postal Service on September 11, 2007 with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Jean C. Reed

Substitute Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEDOCKET
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10/573,942

INFORMATION DISCLOSURE STATEMENT

APPLICANT
Akinobu Sato, et al.FILING DATE
March 29, 2006GROUP
~~1753~~ 1795

U.S. PATENT DOCUMENTS

Cite Initial No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
	US-			
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FOREIGN PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
		WO 03/048407	6-12-2003	PCT, Epion Corp.	
		WO 1/41181	6-7-2001	PCT, Epion Corp.	

NON-PATENT LITERATURE DOCUMENTS

*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO
		Yamada, I., et al.; "Materials processing by gas cluster ion beams," Materials Science & Engineering Reports, Elsevier Sequoia, S.A., Lausanne, CH, Vol. 34, no. 6, Oct. 30-01, pp. 231-295.	

EXAMINER

/Michael Band/

DATE CONSIDERED

09/09/2009

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.B./